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Rosenblatt, Rong Viet Securities Announce Exclusive Vietnam-US Research Pact

New York and Ho Chi Minh City: July 25, 2016. Rosenblatt Securities, a leading US boutique brokerage, and Rong Viet Securities, one of the leading full service brokerage houses in Vietnam, are pleased to announce they have signed an exclusive arrangement to bring Vietnamese research, corporate access, execution services and capital-markets transactions to US institutional investors.

"US institutional investors have long been active in Vietnam," said Ryan Grimsley, the head of Rosenblatt's chaperoning efforts. "But many have been underserved. Our alliance with Rong Viet aims to change that. We're excited to partner with an institution that in the decade since its founding has become one of Vietnam's largest brokerage firms, gone public and made a big commitment to producing the country's best research."

Added Evan Morgan, Head of Research Sales at Rosenblatt: "Recent emerging-market volatility highlights the need for timely, in-depth analysis of all Asian markets, not just the mainland and Greater China markets where we started our Asian chaperoning efforts. Over time, we expect even greater opportunities in Vietnam as local companies continue to remove foreign ownership restrictions, in keeping with the government's direction, and capital-markets transactions accelerate. This will position Rosenblatt to be the leading conduit for US institutions to Vietnam."

"This collaboration agreement is a first step in expanding Rong Viet's client base beyond Asia and into Europe, the Middle East and the United States," said Marc Djandji, Head of Institutional Sales at Rong Viet. "Rosenblatt's institutional focus, reputation and experience in successfully working with other emerging market banks and brokers made it the obvious choice."

Rong Viet's Equity Research department counts 14 analysts covering 58 companies, representing nearly half of the total market capitalization in Vietnam. The research is complemented by local capital markets efforts. The company specializes in identifying and helping clients gain exposure to predominantly small and mid-cap Vietnamese listed companies across a broad spectrum of sectors.

The deal represents the latest of Rosenblatt's efforts to bring best-in-class research from abroad to its US institutional customers. The breadth and depth of the firm's coverage illustrates its expansion from

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execution-only roots to a trusted source of differentiated, valuable investment research. Rosenblatt also has exclusive distribution partnerships with PKO Bank Polski, China Merchants Securities, Taiwan's SinoPac Securities, Ak Investment of Turkey, Attijari Intermediation in Morocco, CardinalStone Partners in Nigeria, Global Investment House in Kuwait, Old Mutual Securities in Kenya, UniCap in Bangladesh and Al Rajhi Capital in Saudi Arabia.

About Rosenblatt Securities: Rosenblatt Securities is an independent institutional brokerage founded in 1979 and headquartered in New York. The firm represents clients on an agency-only basis in equities and ETFs. Rosenblatt is the largest broker by volume operating on the New York Stock Exchange floor. It also offers cutting-edge "upstairs" trading services, including both program and single-stock execution. The firm provides industry-leading execution analytics, market-structure analysis, consulting and investment-banking services to a wide variety of clients globally, including institutional investors, exchanges, government entities, technology companies, banks, brokers and proprietary-trading firms. Its growing research offering includes in house coverage of financial services, technology, media, and telecommunications and partnerships with content providers in emerging, frontier and developed markets, as well as data and analytics providers. Member NYSE, FINRA, SIPC. For more information, please go to www.rblt.com.

About Rong Viet Securities: Rong Viet Securities is a fully-licensed brokerage house founded in December 2006 by leading financial and trading institutions which include the Vietnam Export - Import Commercial Joint Stock Bank (Eximbank), Saigon Trading Group (Satra), Viet Dragon Fund Management Company (VDFM), Sai Gon Asia Financial Investment Group and financial and banking specialists in Vietnam. The company's shares were listed on the Ha Noi Stock Exchange (HNX) on 25 May, 2010, under the ticker VDS. Thanks to its team of qualified professionals, advanced technology and extensive network of local business owners and foreign institutions, the company provides a comprehensive range of financial services and products to clients. For more information, please go to <https://www.vdsc.com.vn/en/home.rv>